

APR. -18' 02 (THU) 14:34

ALSTON & BIRD LLP 43A

TEL: 404 881 7777

P. 001/014

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## ALSTON & BIRD LLP

One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3424

404-881-7000  
Fax: 404-881-7777

### TELECOPY

URGENT PLEASE DELIVER AS SOON AS POSSIBLE

DATE: April 18, 2002

TO: OIPE

FROM: William R. Silverio

NO. OF PAGES: 14  
(Including cover page)

OPERATOR: mhanda

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USER CODE:	SILVW	FAX NUMBER:	703-746-4060
CLIENT/MATTER:	43314/236951		
REQUESTED BY:	Laisha Richardson x4730	VOICE NUMBER:	

Alston & Bird

Received from <404 881 7777> at 4/18/02 2:39:22 PM [Eastern Daylight Time]

WRS



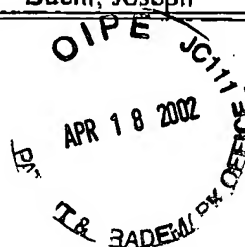
## UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231  
 www.uspto.gov

Received By

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/053,867	01/18/2002	Buehl, Joseph	43314/236951

826

 ALSTON & BIRD LLP  
 BANK OF AMERICA PLAZA  
 101 SOUTH TRYON STREET, SUITE 4000  
 CHARLOTTE NC 28280-4000

**Title:** Systems and methods for packaging, distributing and managing assets in digital cable systems

Date Mailed:04/09/2002

## Request for Substitute Papers

The papers filed on 03/12/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Attorney's Docket No. 43314/236951

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Buehl et al.

Confirmation No.: 5358

Appl. No.: 10/053,867

Filed: January 18, 2002

For:

SYSTEMS AND METHODS FOR PACKAGING, DISTRIBUTING AND  
MANAGING ASSETS IN DIGITAL CABLE SYSTEMS

April 18, 2002

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231COPY OF PAPERS  
ORIGINALLY FILED  
03/12/02

## STATEMENT REGARDING ACCURACY OF ENCLOSED PAPERS

Sir:

In response to the request for substitute papers (attached hereto) for the above-identified patent application copies of the following papers are enclosed:

- 1) Response to Notice to File Missing Parts;
- 2) Part 2 of Formalities Letter;
- 3) Declaration and Power of Attorney;
- 4) Assignment Recordation Form Cover Sheet;
- 5) Assignment (Total 5 pages including cover sheet); and
- 6) Return Postcard

The enclosed papers are a complete and accurate copy of the papers filed on February 28, 2002 in response to the Notice to File Missing Parts dated February 14, 2002. A check for \$964.00 was also mailed with the Response to the Notice to File Missing Parts, along with an authorization to charge any additional fee to Deposit Account 16-0605. However, it is not clear from the Request for Substitute Papers whether the check was processed or the account charged. The Commissioner is hereby authorized to charge any outstanding fees (including national stage filing fees, additional claim fees, surcharges and assignment fees) or credit any overpayment to our Deposit Account No. 16-0605.

Respectfully submitted,

William R. Silverio  
Registration No. 45,383

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

## FACSIMILE

- ☒ facsimile transmitted to the Patent and Trademark Office (OIPE) at 703-746-4060, on April 18, 2002.

Laisha Richardson

**PTO RECEIPT FOR INDICATED ITEMS**

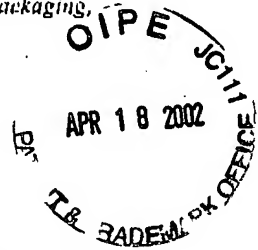
Application No.: 10/053,867 Atty: Silverio, W.R.  
Filed: 1/18/02 Date Mailed: 2/28/02  
Inventor(s): Buehl et al. Atty. Dkt. No.: 43314/23695.1

U.S. Patent Application Entitled: *Systems and Methods for Packaging,  
Distributing and Managing Assets in Digital Cable Systems*

Kindly acknowledge receipt of the following:

- ☒ Response to Notice to File Missing Parts
- ☒ Part 2 of Formalities Letter
- ☒ Declaration and Power of Attorney
- ☒ Assignment Recordation Form Cover Sheet
  - ☒ Assignment (Total 5 pages including cover sheet)
- ☒ Check for \$964.00 to cover the filing fee, additional claim fee and surcharge and a check for \$40.00 for the Assignment
- ☒ Return Postcard

Please place office stamp hereon and Return Postcard to: Lalsha  
Richardson ALSTON & BIRD LLP

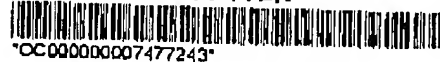




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20221  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053.867	01/18/2002	Joseph G. Buehl	43314/236951

00826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000CONFIRMATION NO. 5358  
FORMALITIES LETTER

"000000007477243"

Date Mailed: 02/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPER  
ORIGINALLY FILE  
03/12/02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$54.
  - \$54 for 3 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 924.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney's Docket No. 43-14/236951

APR 18 2002

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Buehl et al.

Appl No.: 10/053,867

Confirmation No.: 5358

Filed: January 18, 2002

For: SYSTEMS AND METHODS FOR PACKAGING, DISTRIBUTING AND MANAGING ASSETS IN DIGITAL CABLE SYSTEMS

Box Missing Parts  
Commissioner for Patents  
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ORIGINALLY FILED  
03/12/02RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

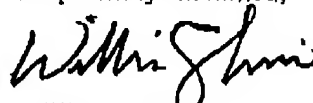
Sir:

In response to the Notice to File Missing Parts of Application dated February 14, 2002, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☐ Applicant claims small entity status
- ☒ Check in the amount of \$924.00 to cover the filing fee of \$740.00, the \$54.00 additional claim fee, the \$130.00 surcharge under 37 C.F.R. § 1.16(e), and a check in the amount of \$40.00 to cover the fee for the Assignment.
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Assignment; Assignment Recordation Form Cover Sheet

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

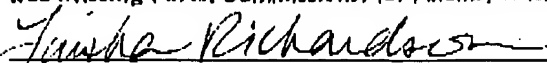
Respectfully submitted,

William R. Silverio  
Registration No. 45,383Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Atlanta Office (404) 881-7777

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on February 28, 2002.



Laisha Richardson